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Date of Deposit: May 14, 2002

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Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: Patricia Aguillon

PATENT  
Docket No. P1393

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: CHIEH-SHENG LIN  
YU-LING SUN  
PING-CHENG YANG  
CHING-FU TU  
SHINN-CHIH WU

SERIAL NO.: 10/053,641 EXAMINER: UNASSIGNED

FILED: JANUARY 18, 2002 ART UNIT: 1636

FOR: EXPRESSION VECTOR FOR HIRUDIN AND TRANSFORMED  
CELLS AND TRANSGENIC ANIMALS CONTAINING SAID  
VECTOR

OFFICE OF INITIAL PATENT EXAMINATION  
CUSTOMER SERVICE CENTER  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

TRANSMITTAL

Dear Sir:

In connection with the above-referenced matter, transmitted herewith are the following:

1. Response to Notice to File Corrected Application Papers (1 page);
2. Copy of Notice to File Corrected Application Papers (1 page);
3. Substitute Informal Drawings of Figures 1 through 8 (8 pages);
4. Request for Corrected Filing Receipt (2 pages),
5. Copy of Filing Receipt with corrections marked in red (1 page); and
6. Post card in acknowledgment of receipt of all transmitted materials.

Please date-stamp the enclosed post card and return to the undersigned in acknowledgment of receipt of all transmitted materials.

Respectfully submitted,



Victor Flores

Reg. No. 29,638

VF/mvl

May 14, 2002

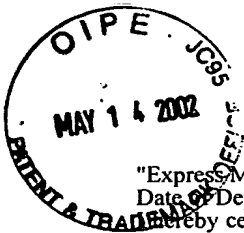
LARIVIERE, GRUBMAN & PAYNE, LLP

P.O. Box 3140

Monterey, CA 93942

(831) 649-8800

2004-05-14 14:00



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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILED CORRECTED APPLICATION PAPERS

Dear Sir:

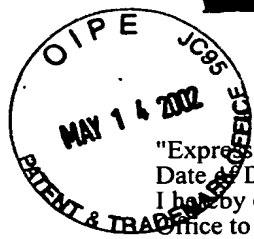
In response to Notice to File Corrected Application Papers dated March 15, 2002, and with a response due date of May 15, 2002, enclosed please find Substitute Informal Drawings of Figures 1 through 8 which conform to the notice as requested.

Drawings have been modified as required for ease of reading, and no new matter has been introduced.

Respectfully submitted,

Victor Flores  
Reg. No. 29,638

VF/mvl  
May 14, 2002  
LARIVIERE, GRUBMAN & PAYNE, LLP  
P.O. Box 3140  
Monterey, CA 93942  
(831) 649-8800



05-16-02  
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Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: Pat Aguillon

PATENT  
Docket No. P1393

**IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: CHIEH-SHENG LIN  
YU-LING SUN  
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SHINN-CHIH WU

SERIAL NO.: 10/053,641 EXAMINER: UNASSIGNED

FILED: JANUARY 18, 2002 ART UNIT: 1636

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**OFFICE OF INITIAL PATENT EXAMINATION  
CUSTOMER SERVICE CENTER  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the filing receipt received in connection with the above-referenced patent application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error in:

  X   Applicant's name and/or address

       Title

       Filing Date

       Serial Number

       Foreign/PCT Application

       Omitted



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/053,641	01/18/2002	1636	562	P1393	8	32	5

CONFIRMATION NO. 3585

## FILING RECEIPT



\*OC000000007644881\*

LaRiviere, Grubman & Payne, LLP  
P.O. Box 3140  
Monterey, CA 93942

Date Mailed: 03/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Chich-Sheng Lin Miaoli Hsien, TAIWAN;  
Yu-Ling Sun, Miaoli Hsien, TAIWAN;  
Ping-Cheng Yang, Miaoli Hsien, TAIWAN;  
Ching-Fu Tu, Miaoli Hsien, TAIWAN;  
Shinn-Chih Wu, Miaoli Hsien, TAIWAN;

Should Be: CHIEH-SHENG LIN

## Assignment For Published Patent Application

Animal Technology Institute Taiwan, Miaoli Hsien, TAIWAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 03/14/2002

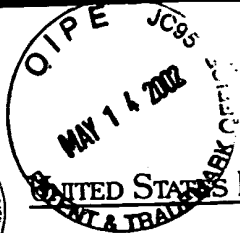
Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,641	01/18/2002	Chich-Sheng Lin	P1393

CONFIRMATION NO. 3585

## FORMALITIES LETTER



\*OC000000007644882\*

LaRiviere, Grubman & Payne, LLP  
P.O. Box 3140  
Monterey, CA 93942

Date Mailed: 03/15/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

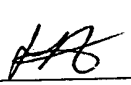
This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Numbers, letters, and reference characters must measure at least 0.32 cm (1/8 inch) in height.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

in that the first named inventor should read as follows: CHIEH-SHENG LIN

Please date-stamp the enclosed post card and return same to the undersigned in acknowledgment of receipt of all transmitted materials.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Victor Flores", written in dark ink.

Victor Flores  
Reg. No. 29,638

VF/mvl  
May 14, 2002  
LARIVIERE, GRUBMAN & PAYNE, LLP  
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